



**CORECAP ADVISORS, INC.**

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**Part 2B of Form ADV: Brochure Supplement for Brett Mills**

This brochure supplement provides information about Brett Mills that supplements the CoreCap Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Judith Villarreal (telephone: 888-296-3360; email: [Judith.villarreal@corecapinv.com](mailto:Judith.villarreal@corecapinv.com)) if you did not receive CoreCap Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement. Additional information about Brett Mills is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

The date of this Brochure Supplement is August 19, 2014.



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## **Item 2 - Educational Background and Business Experience**

Brett Mills  
Year of Birth: 1977

**Education:** University of Michigan, Business Management 1995-2000  
Michigan Theological Seminary, Masters of Divinity 2001-2004

### **Business:**

- CoreCap Advisors, Inc., Investment Advisor Representative, April 2014 to present
- Caitlin John, LLC, - Investment Advisor Representative, March 2011 to April 2014
- Senior Benefits Group, Independent Sales Representative, October 2005 to present
- The Winners Circle, Office Manager, Mortgage Company, January 2000 to January 2006

## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Brett Mills and CoreCap Advisors, Inc. Mr. Mills has no history of legal or disciplinary events.

## **Item 4 - Other Business Activities**

Mr. Mills works with Senior Benefits Group as an independent sales representative in a company assisting individuals with signing up for Medicare. Although these services do occur during market hours, Mr. Mills is serving essentially the same client base as both a broker and a insurance consultant. Otherwise, Mr. Mills devotes his full professional time to our firm; he is not engaged in any other business activities.

## **Item 5 - Additional Compensation**

Besides his employment and his Medicare assistance compensation described above, Mr. Mills does not receive any additional compensation in connection with providing you advisory services, such as sales awards or other prizes.

## **Item 6 - Supervision**

Mr. Mills' activities are supervised and his advice is monitored by Mr. R. Max Pett, President and Chief Executive Officer of CoreCap Advisors, Inc. Our firm has systems



and procedures to supervise all of our advisory representatives, including periodic home office reviews of all transaction orders submitted to our clearing brokerage firm, electronic exception reports generated by our clearing brokerage firm, back-office reviews and approvals of all new account applications, risk profile questionnaires, and investment management agreements. In addition, our clearing brokerage firm provides each client with an account suitability profile annually and invites each client to verify or update the information as requested. For questions regarding the supervision of Mr. Mills, please contact Mr. Pett at 888-296-3360.

**Item 7 - Requirements for State-Registered Advisers**

Not applicable. CoreCap Advisors, Inc. is SEC registered.